

United Arab Bank P.J.S.C. PO Box 25022 Sharjah, United Arab Emirates Telephone: 06 573 3900 Fax: 06 573 3906 http://www.uab.ae

ENTITY CUSTOMER FORM A – ADDITIONAL REGULATORY INFORMATION

Completion of this Form A is mandatory in accordance with UAE Central Bank requirements including Notices 321/2013 dated 19 November 2013 and 150/2014 dated 26 May 2014.

Please complete PARTS 1 and 2 and, in the case of Non U.S. Person Entities, <u>ONLY ONE</u> of PARTS 3 to 7 of this FORM A. Please tick all the boxes below which are applicable to you. To assist you, you should please refer to (1) the Explanatory Notes on page 3 which define and explain some of the applicable regulatory technical terms and (2) the supporting user instructions referred to in Note 1 of the Explanatory Notes. (See Notes 1 to 6)

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PART 1 – ENTITY NAME	
Customer Name	
PART 2 - U.S. PERSON EN	тттү
We are <u>NOT</u> a U.S. Persor OR	n entity incorporated in the United States of America?
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	S. Person, please provide the W-9 Form and tick to confirm you have completed and signed it.
PART 3 - NON U.S. PERSO	
FOREIGN FINANCIAL INST	ritution (ffi)
We are a Foreign Financia	al Institution ("FFI").
Please provide the W-8 Fo	orm which is relevant to you and tick to confirm you have completed and signed it.
Please provide, if applicab	ble, your Global Intermediary Identification Number (GIIN) below. (See Note 2)
PART 4 - NON U.S. PERSO	ON ENTITY
	N ENTITY (NFFE) / ACTIVE OR PASSIVE
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We are an Active NFFE. [(See Note 3)
We are a Passive NFFE.	(See Note 4)
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EXPLANATORY NOTES

- 1. All relevant U.S. Department of Treasury Internal Revenue Service Forms and supporting user instructions that may be applicable to you can be downloaded on the Inland Revenue Service (IRS) website at http://www.irs.gov. In particular, but without limitation, you can download the following:
 - Form W-8BENE at http://www.irs.gov/pub/irs-pdf/fw8bene.pdf.
 - Form W-8BENE Instructions at http://www.irs.gov/pub/irs-pdf/iw8bene.pdf.
 - Form W-9 at http://www.irs.gov/pub/irs-pdf/fw9.pdf.
 - Form W-9 Instructions at http://www.irs.gov/pub/irs-pdf/iw9.pdf.
- 2. Some categories of FFI are not subject to any registration requirement with the IRS and consequently will not have a GIIN.
- 3. An **Active NFFE** is defined as an NFFE where less than 50% of its gross income is passive income, or less than 50% of its assets produce or are held to produce for the production of passive income. Passive income is defined as income from one or more of the following sources: dividends (including substitute dividends), interest (including substitute interest), rent and royalties, annuities, a pool of insurance contracts, the sale or exchange of property that gives raise to any of the above income, net income from commodity transactions (excluding commodity hedging transactions), net income from foreign exchange transactions, net income from notional principal contracts (e.g. swaps), amounts received under cash value insurance contract, amounts earned from insurance and annuity contracts reserves.
- 4. A **Passive NFFE** is defined as any NFFE that is not excepted. Excepted entities include: publicly traded NFFE (or affiliate), excepted territory NFFE and Active NFFE.
- 5. A member of the same Expanded Affiliated Group (**EAG**) (i.e. an entity is a part of an EAG if it is affiliated with a common parent that directly or indirectly owns over 50% of the stock by vote and value of such corporation, or in the case of a partnership or non-corporate entity, owns over 50% by value of the beneficial interest of such partnership or non-corporate entity) as an entity the stock of which is regularly traded on an establish securities market.
- 6. Documentary evidence includes: any official document issued by an authorized government body that includes the name of the entity and either the address of its principle office in the jurisdiction in which it claims to be a resident or the jurisdiction in which the entity was incorporated or organised or any financial statement, third-party credit report, bankruptcy filing, or U.S. Securities and Exchange Commission report.

For Bank Use Only:	
CUSTOMER ID	
UAB FATCA ID	
RECALCITRANT	No Yes